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**MAR 17 2004**

**DATE:** March 17, 2004  
**FIRM:** USPTO, Group Art Unit 2157  
**FAX #:** (703) 872-9306  
**FROM:** Jose Gutman

**TOTAL NUMBER OF PAGES: 11  
(INCLUDING THIS PAGE)**

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**MESSAGE:**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** John K. ALEX et al.

**Serial No.:** 10/675,828

**For:** *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

**Enclosed are the following:**

Transmittal letter (1 page); and Preliminary Amendment (9 pages)

**Docket No.:** POU920030132US1

**140-P0025**

**March 17, 2004**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Atty. Docket No. POU920030132US1  
 John K. ALEX, et al. : Group Art Unit: 2157  
 Serial No. 10/675,828 : Examiner: Not Yet Assigned  
 Filed: September 30, 2003 : Confirmation No. 6637  
 For: *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

TRANSMITTAL**MS NON-FEE AMENDMENT**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

SIR:

Transmitted herewith is a preliminary amendment in the above-identified application, and the following:  
☒ No additional filing fee is required.

The fee has been calculated as shown below. *(Small entity fees indicated in parentheses.)*

CLAIMS AS AMENDED						
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid For	(5)  Extra Claims	(6)  Rate	(7)  Fee
Total Claims (Small Entity)	24	-	24	0	18.00 (9.00)	0
Independent claims (Small Entity)	5	-	5	0	86.00 (43.00)	0
Multiple Dependent (Small Entity)	0	-	0	0	290.00 (145.00)	0
Extension of Time Fee (Small Entity)	One Month \$110 (\$55)		Two Months \$420 (\$210)	Three Months \$950 (\$475)		0
Total						\$0.00

XX The Commissioner is hereby authorized to charge any required fees to Deposit Account No. **09-0463**.

Respectfully submitted,

Date:

3/17/04

By:

*Jose Gutman*  
 Jose Gutman  
 Reg. No. 35,171

**Customer No. 23334**

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Appl. No. 10/675,828  
Amdt. dated 11/21/2003

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PATENT

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Appl. No. : 10/675,828 Confirmation No.: 6637  
Applicant : John K. ALEX et al.  
Filed : September 30, 2003  
Art Unit : 2157  
Examiner : Not Yet Assigned  
Docket No. : POU920030132US1  
Customer No. : 23334

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

VIA FACSIMILE (703) 872-9306

MS-NON FEE AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

I hereby certify that this correspondence  
is being facsimile transmitted to the  
United States Patent and Trademark Office  
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Date of Transmission

Karen Taragowski  
Applicant, Assignee, or Representative

Karen Taragowski 3/17/04  
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